PATENT PTÖ Customer No. 03000 Attorney Docket No. P1096/20003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Christopher John Ferrell

Serial No.

10/070,349

Confirmation No.

9999

Filed

U.S. National Application based on

PCT/AU99/00840, filed on September 29, 1999

For

**ORAL APPLIANCE** 

## SUBMISSION OF DECLARATION AND POWER OF ATTORNEY

Box PCT Commissioner for Patents Washington, D.C. 2023l

Sir:

Responsive to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), dated October 17, 2002, Applicant encloses copies of the Submission of Declaration for Patent Application, and the Declaration and Power of Attorney, which were previously filed with United States Patent and Trademark Office by Express Mail, on August 16, 2002.

Also enclosed is a copy of Form PCT/DO/EO/905 (371 Formalities Notice).

Respectfully submitted, \*

CAESAR, RIVISE, BERNSTEIN, COHEN & POKOTILOW, LTD.

November 8, 2002

Pormu A

Registration No. 25,257

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Attorneys for Applicant

# **CERTIFICATE OF MAILING**

I hereby certify that the foregoing SUBMISSION OF DECLARATION AND POWER OF ATTORNEY, copy of Submission of Declaration of Patent Application and Declaration and Power of Attorney, previously filed on August 16, 2002, and Form PCT/DO/EO/905 (371 Formalities Notice), re Application Serial No.10/070,349, are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Box PCT, Commissioner for Patents, Washington, D.C. 20231, this 8th day of November, 2002.

Barry A. Stein

PATENT PTO Customer No. 03000 Attorney Docket No. P1096/20003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Christopher John FARRELL

Serial No.

10/070,349

U.S. National Application based on

PCT/AU99/00840, filed on September 29, 1999

Filed

February 28, 2002

For

ORAL APPLIANCE

#### SUBMISSION OF DECLARATION FOR PATENT APPLICATION

Box PCT Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants enclose the Declaration of Patent Application which should be placed in the file of this application.

The United States Patent and Trademark Office is authorized to charge our deposit Account No. 03-0075 the sum of Sixty-five Dollars (\$65.00) or any other fee as the surcharge appropriate herein. The applicant is entitled to small entity status. A duplicate copy of this document is enclosed herewith.



As of this date, applicants' counsel has not received the Notification of Missing Parts.

Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN, COHEN & POKOTILOW, LTD.

By

Barry A. Stein

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12th Floor, Seven Penn Center

1635 Market Street

Philadelphia, PA 19103-2212

(215) 567-2010

"Express Mail" Mailing Label No. EV 166 076 055 US Date of Deposit August 16, 2002

I hereby certify that the attached Submission of Declation for Patent Application and Declaration, re Application No. 10/070,349, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231

<u>Marie Trahey</u>





Contrinssioner for Patents, Box PCT United States Patent and Trademark Office Washington, E.C. 2023

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/070,349 P1096/20003 Christopher John Farrell

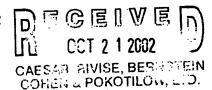
INTERNATIONAL APPLICATION NO.

PCT/AU99/00840

I.A. FILING DATE 09/29/1999

PRIORITY DATE 12/16/1998

Barry A Stein 12 Floor, Seven Penn Center 1635 Market Street Philadelphia, PA 19103-2212



**CONFIRMATION NO. 9999 371 FORMALITIES LETTER** \*OC000000008961856\*

Date Mailed: 10/17/2002

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- . Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

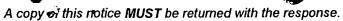
ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)







# WINSTON M ALVARADO

Telephone: (703) 305-6421

# PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/070,349	PCT/AU99/00840	P1096/20003

FORM PCT/DO/EO/905 (371 Formalities Notice)